

**Note: Thrivent Investment Management, Inc. does not engage in any material arrangements, including any internalization or payment for order flow arrangements, for each of the venues listed below.**

**THRIVENT INVESTMENT MANAGEMENT  
4321 N. BALLARD RD  
APPLETON, WI 54919-0001**

**SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2018**

**Securities Listed on New York Stock Exchange**

**Summary Statistics:**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	97.60%
Limit orders as percentage of total limit orders	1.05%
Other orders as percentage of total other orders	1.35%

**Venues Receiving Significant Percentage of Total Non-Directed Orders:**

1. Citadel Securities LLC	43.07%
2. VIRTU Americas LLC	42.23%
3. Two Sigma Securities, LLC	4.46%
4. G1 Execution Services, LLC	3.59%
5. Cboe BYX U.S. Equities Exchange	2.08%
6. Instinet LLC	1.47%
7. BNY Mellon Capital Markets LLC	1.00%
8. UBS Securities, LLC	0.62%
9. New York Stock Exchange	0.56%
10. Thrivent Investment Management	0.26%

**Information Concerning Significant Venues :**

**1. Citadel Securities LLC**

**Types of Orders Routed to Venue :**

Market orders as percentage of total market orders	43.37%
Limit orders as percentage of total limit orders	15.87%
Other orders as percentage of total other orders	42.57%

**2. VIRTU Americas LLC**

**Types of Orders Routed to Venue :**

Market orders as percentage of total market orders	42.96%
Limit orders as percentage of total limit orders	9.96%
Other orders as percentage of total other orders	15.14%

3. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.56%
Limit orders as percentage of total limit orders	0.37%
Other orders as percentage of total other orders	0.00%

4. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.68%
Limit orders as percentage of total limit orders	0.74%
Other orders as percentage of total other orders	0.00%

5. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.12%
Limit orders as percentage of total limit orders	0.37%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.49%
Limit orders as percentage of total limit orders	0.74%
Other orders as percentage of total other orders	0.29%

7. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.02%
Limit orders as percentage of total limit orders	0.37%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.52%
Limit orders as percentage of total limit orders	4.43%
Other orders as percentage of total other orders	5.43%

9. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	50.18%
Other orders as percentage of total other orders	2.00%

10. Thrivent Investment Management

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.27%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	98.59%
Limit orders as percentage of total limit orders	0.56%
Other orders as percentage of total other orders	0.85%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	43.37%
2. VIRTU Americas LLC	43.20%
3. Two Sigma Securities, LLC	4.15%
4. G1 Execution Services, LLC	3.66%
5. Cboe BYX U.S. Equities Exchange	1.85%
6. Instinet LLC	1.52%
7. BNY Mellon Capital Markets LLC	0.94%
8. UBS Securities, LLC	0.53%
9. Thrivent Investment Management	0.29%
10. NASDAQ Execution Services, LLC	0.27%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.41%
Limit orders as percentage of total limit orders	19.55%
Other orders as percentage of total other orders	54.95%

#### 2. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	43.51%
Limit orders as percentage of total limit orders	21.05%
Other orders as percentage of total other orders	21.78%

#### 3. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.21%
Limit orders as percentage of total limit orders	0.75%
Other orders as percentage of total other orders	0.00%

#### 4. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.71%
Limit orders as percentage of total limit orders	0.75%
Other orders as percentage of total other orders	0.00%

5. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.86%
Limit orders as percentage of total limit orders	1.50%
Other orders as percentage of total other orders	0.50%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.54%
Limit orders as percentage of total limit orders	0.75%
Other orders as percentage of total other orders	0.00%

7. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.96%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.52%
Limit orders as percentage of total limit orders	3.01%
Other orders as percentage of total other orders	0.50%

9. Thrivent Investment Management

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.30%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	44.36%
Other orders as percentage of total other orders	2.48%

## Securities Listed on NYSE American or Regional Exchanges

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	98.56%
Limit orders as percentage of total limit orders	0.55%
Other orders as percentage of total other orders	0.89%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	42.99%
2. VIRTU Americas LLC	41.85%
3. Two Sigma Securities, LLC	4.26%
4. G1 Execution Services, LLC	3.36%
5. Cboe BYX U.S. Equities Exchange	2.82%
6. Instinet LLC	2.54%
7. BNY Mellon Capital Markets LLC	0.98%
8. UBS Securities, LLC	0.58%
9. Thrivent Investment Management	0.28%
10. NYSE Arca	0.09%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.96%
Limit orders as percentage of total limit orders	11.03%
Other orders as percentage of total other orders	66.45%

#### 2. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.14%
Limit orders as percentage of total limit orders	38.08%
Other orders as percentage of total other orders	11.84%

#### 3. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.32%
Limit orders as percentage of total limit orders	1.42%
Other orders as percentage of total other orders	0.00%

#### 4. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.40%
Limit orders as percentage of total limit orders	1.42%
Other orders as percentage of total other orders	0.00%

5. Cboe BYX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.86%
Limit orders as percentage of total limit orders	0.36%
Other orders as percentage of total other orders	0.00%

6. Instinet LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.58%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. BNY Mellon Capital Markets LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.45%
Limit orders as percentage of total limit orders	21.00%
Other orders as percentage of total other orders	1.32%

9. Thrivent Investment Management

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.28%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	14.23%
Other orders as percentage of total other orders	1.32%

## Exchange - Listed Options

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	78.33%
Limit orders as percentage of total limit orders	21.67%
Other orders as percentage of total other orders	0.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. NASDAQ PHLX Options	41.67%
2. Cboe Options Exchange	28.33%
3. BOX Option Exchange	11.67%
4. The MIAX Options Exchange	7.50%
5. NASDAQ Options Market	2.50%
6. NYSE American Options	1.67%
7. Cboe BZX Options Exchange, Inc.	1.67%
8. NASDAQ BX Options	1.67%
9. NYSE Arca Options	0.83%
10. Cboe C2 Options Exchange	0.83%

### Information Concerning Significant Venues :

#### 1. NASDAQ PHLX Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	46.81%
Limit orders as percentage of total limit orders	23.08%
Other orders as percentage of total other orders	0.00%

#### 2. Cboe Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	29.79%
Limit orders as percentage of total limit orders	23.08%
Other orders as percentage of total other orders	0.00%

#### 3. BOX Option Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.51%
Limit orders as percentage of total limit orders	23.08%
Other orders as percentage of total other orders	0.00%

#### 4. The MIAX Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.45%
Limit orders as percentage of total limit orders	7.69%
Other orders as percentage of total other orders	0.00%

5. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.54%
Other orders as percentage of total other orders	0.00%

6. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.13%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	7.69%
Other orders as percentage of total other orders	0.00%

8. NASDAQ BX Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.13%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	3.85%
Other orders as percentage of total other orders	0.00%

10. Cboe C2 Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.06%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%