

POLICY ON DRAWINGS AND OTHER PROMOTIONS



**DON'T GAMBLE
WITH YOUR
FUTURE**

Geoff does not follow the policy and ends up going to jail!*



Geoff disregards the Promotion Policy.



Geoff reviews the Promotion Policy.



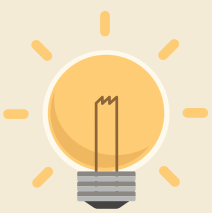
Geoff follows the policy and the terms & conditions. He runs a great promotion!



Geoff chooses a promotion and advertises it without reviewing the Promotion Policy.

There are legal and compliance risks when conducting promotions. State-specific gaming and gifting laws as well as FINRA regulations may be implicated. This policy with its templates is meant to assist you in running a drawing, sweepstakes, contest or other similar promotion.

Geoff fills out the preapproved terms & conditions and disclosure statements.



CLICK FOR PROMOTION POLICY

HOW TO USE THE PROMOTION POLICY

1. Review the general rules of running a promotion.
2. Read the specific rules for a promotion.
3. Choose a promotion from the list of preapproved promotion types.
4. Fill out the preapproved terms & conditions as well as disclosure statements (if you wish to advertise the promotion).
5. Follow the Promotion Policy and the rules within the terms & conditions to run your promotion.

*In most states, an illegal lottery or illegal gambling is a misdemeanor criminal offense.



QUESTIONS? CONTACT Compliance office: compliance.comply@thrivent.com.

