

Member ID

**Section 1 - Owner Information**

Name of owner (print first, middle, last name and suffix, as applicable)

Name of joint owner (print first, middle, last name and suffix, as applicable)

**Section 2 - One Time Exchange Requests**

<b>Exchange from:</b> Fund/Account number	<b>Exchange as:</b> <input type="checkbox"/> Shares: Number of shares - _____ <input type="checkbox"/> Dollars: \$ _____ <input type="checkbox"/> Percentage: _____ % <input type="checkbox"/> Entire balance	<b>Exchange to:</b> New account? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, indicate fund name in box below. Fund/Account number
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<b>Exchange from:</b> Fund/Account number	<b>Exchange as:</b> <input type="checkbox"/> Shares: Number of shares - _____ <input type="checkbox"/> Dollars: \$ _____ <input type="checkbox"/> Percentage: _____ % <input type="checkbox"/> Entire balance	<b>Exchange to:</b> New account? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, indicate fund name in box below. Fund/Account number
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**Section 3 - Account Options**

Source Fund/Account Number	Destination Fund/Account Number	Dividend/Capital Gain Election		Systematic Withdrawal Plan		Automatic Bank Withdrawal Plan		Automatic Exchange	
		Stop	Copy	Stop	Copy	Stop	Copy	Stop	Copy
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Section 4 - Special Instructions**

**Section 5 - Cost Basis Method for Exchange**

Fund Name (Indicate "ALL" if election applies to all accounts.)	First-In, First-Out (FIFO)	Last-In, First-Out (LIFO)	High Cost	Low Cost	Gain/Loss Utilization
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**New Account Cost Basis Election for Covered Shares**

Any new accounts established with this exchange will use the cost basis method from the source account. Use the below chart to select a different method on any new accounts created with this exchange. **This only applies to the Proceeds From Broker and Barter Exchange Transactions (IRS Form 1099-B) reportable accounts. Does not apply to retirement accounts, money market accounts or Coverdell Education Savings Accounts.**

Cost Basis information will be provided to you and the Internal Revenue Service (IRS) for covered shares purchased on or after January 1, 2012 in 1099-B reportable accounts.

- You are allowed to override your election for a specific financial transaction and use a different cost basis method including Specific Identification unless you have elected Average Cost.
- If you choose a method other than Average Cost and have noncovered shares purchased to January 1, 2012, Thrivent Mutual Funds may provide average cost information to you for the noncovered shares, if available. Use Section 4 - Special Instructions to request Average Cost on your noncovered shares.

Fund Name (Indicate "NEW" if election applies to new accounts.)	Average Cost (default method)	First-In, First-Out (FIFO)	Last-In, First-Out (LIFO)	High Cost	Low Cost	Gain/Loss Utilization
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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**Section 6 - Agreements and Signatures**

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By signing this agreement, I acknowledge and represent that I have:

- Received and reviewed a prospectus for the Fund(s) purchased.
- Received, read, and agree to the Disclosures (page 4 of this form) and any other disclosures contained in this form.

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Signature of primary owner/conservator/guardian/custodian/trustee/authorized person and date signed

**X**

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Signature of joint owner/additional trustee/additional authorized person and date signed

**X**

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Signature of additional joint owner/additional trustee/additional authorized person and date signed

**X**

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Signature of additional joint owner/additional trustee/additional authorized person and date signed

**X**

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Name and code number of representative

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**Mail completed form to:**

**Regular Mail:**

Thrivent Funds  
PO Box 219348  
Kansas City, MO 64121-9348

**Express Mail:**

Thrivent Funds  
430 W 7th St  
Kansas City, MO 64105

**Fax:**

866-278-8363

**Phone:**

800-847-4836

## Disclosures

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### Terms and Conditions

Exchanges to new accounts must meet fund minimums. Exchanges to existing and new accounts must have the same registrations. For federal income tax purposes, this exchange transaction constitutes a sale or redemption of the shares submitted for exchange and a purchase of the shares acquired in return. The shareholder may realize a taxable gain for such purposes if the amount received on such sales exceeds the tax-cost basis of the shares submitted for exchange. This transaction may also be taxable under state law. Therefore the shareholder should examine the law of his or her own state to determine whether tax on any gain under such law must be paid. This paragraph does not apply to a qualified tax-deferred plan account.

I authorize Thrivent Financial Investor Services Inc. to act upon my instructions for any options I have checked on this form. I understand that all features may be terminated by me or Thrivent Financial Investor Services Inc. at any time.

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### Section 5 - Cost Basis Method for Exchange

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#### Cost Basis Override for Transaction on the Source Account

Complete the Proceeds from Broker and Barter Exchange Transactions (IRS Form 1099-B) reportable accounts. Does not apply to retirement accounts, money market or Coverdell Education Savings accounts.

The cost basis method currently listed on the source account(s) will be used for these transaction(s). If you'd like a different cost basis method for these transaction(s) **only**, complete the Cost Basis Method for Exchange chart.

- This cost basis method override will not change the method on your account(s).
- You cannot change the cost basis method used after the exchange has been processed.
- If you are currently using the Average Cost method on your accounts or want to use the Average Cost method, you must change your election in writing prior to completing an exchange. You cannot change your Average Cost method at the transaction level.
- An exchange using Specific Lot Identification can only be completed by calling the Mutual Fund Customer Interaction Center or online at Thrivent.com.
- The current cost basis method on your account can be found by contacting your Thrivent Financial representative, the Mutual Fund Customer Interaction Center or online at Thrivent.com.

#### Cost Basis Election

I understand that:

- Thrivent Mutual Funds will use my election to calculate cost basis for the covered shares held in the account(s) and any future accounts established as a result of an exchange of mutual fund shares. I am responsible for any adjustments to the cost basis information that may apply due to activity occurring in a different account that holds shares of the same fund. If no election was indicated, the Average Cost method will be used.
- Unless the Average Cost method is used, I can use the Specific Identification method for any redemption of covered shares by providing information about the shares to be redeemed at the time of the redemption request.
- I can change this election at any time for covered shares that have not been redeemed, exchanged or transferred from the account(s). If I have elected Average Cost (as opposed to being defaulted to Average Cost), I understand I have the right to revoke this election up until the time of the first redemption, exchange or transfer of covered shares from the account(s). If I change the average cost designation (whether elected or defaulted to) after covered shares have been redeemed, exchanged or transferred from the account(s), I understand that the cost basis of all covered shares in the account(s) at the time of the change will be the current average cost of those shares.
- I am required to use the cost basis information provided on IRS Form 1099-B for covered shares as a result of this election for tax purposes. Information received on non-covered shares is informational only and is not required to be used for tax purposes. Average cost basis on non-covered shares will not be provided to the IRS by Thrivent Mutual Funds.
- Thrivent Mutual Funds will use my election. Thrivent Mutual Funds and its affiliates do not provide tax advice.