

### Section 1 - General Information

Name of owner (print first, middle, and last name)	Date of birth
Name of joint owner (print first, middle, and last name)	Date of birth
Existing Thrivent Funds account number(s)	

### Section 2 - Distribution Options

Dividends/Capital gains distributions are not available on 403(b) accounts or Coverdell Education Savings Accounts.

Dividends: ☐ Pay ☐ Reinvest

Capital gains: ☐ Pay ☐ Reinvest

Pay to: ☐ Registered account address

☐ Other Thrivent Funds account - \_\_\_\_\_

☐ Direct Deposit to a bank - If Direct Deposit is selected, is bank information already on file?

☐ Yes. Name of bank - \_\_\_\_\_

☐ No. Complete Section 3 - Bank Information

☐ Other payee

Name of other payee (print first, middle, and last name)	Contract/Account number
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For the benefit of (print first, middle, and last name)

Address	City	
	State	ZIP code

### Section 3 - Bank Information

Name of bank account owner

Name of joint bank account owner

Name of bank

Type of account <input type="checkbox"/> Checking <input type="checkbox"/> Savings	Routing number	Account number
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I authorize Thrivent Financial Investor Services Inc. to: 1) make electronic deposits, withdrawals and corrections to my bank account that comply with U.S. law; 2) act on this authorization until I revoke it by contacting Thrivent Funds; 3) apply this authorization to any future bank accounts I may designate; 4) make administrative changes to this authorization which I request such as date or amount changes; 5) release any and all information related to this authorization to the third party account/contract owner, and 6) act upon electronic deposit instructions I provide to my representative.

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**Section 4 - Additional Information**

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**Section 5 - Agreements and Signatures**

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I certify that I have received, read, and agree to the Disclosures at the end of this form and any other disclosures contained in this form.

<b>X</b> Signature of primary owner/conservator/guardian/custodian/trustee/authorized person	Date signed
<b>X</b> Signature of joint owner/additional conservator/additional trustee/additional authorized person	Date signed
<b>X</b> Signature of joint owner/additional conservator/additional trustee/additional authorized person	Date signed
<b>X</b> Medallion Signature Guarantee or Notary Seal	

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**Mail completed form to:****Regular Mail:**

Thrivent Funds  
PO Box 219348  
Kansas City, MO 64121-9348

**Express Mail:**

Thrivent Funds  
801 Pennsylvania Ave  
Suite 219348  
Kansas City, MO 64105

**Fax:**

866-278-8363

**Phone:**

800-847-4836

## Disclosures

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### Notification for Federal and State Income Tax Withholding on IRA or 403(b)

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You are liable for federal and state income tax, where applicable, on the taxable portion of your distribution even if you elect no withholding. Except where prohibited by federal and/or state law, you can elect: 1) no withholding; 2) withholding at the minimum federal and state rates; or 3) withholding at a rate higher than the minimum rates. You may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate. Check with your tax advisor to determine if withholding is necessary.

**Non-Retirement Distributions** - We will not withhold for federal or state taxes from distributions on these account types.

**Roth Distributions** - Unless otherwise indicated on this form, we will not automatically withhold for distributions from a Roth IRA.

**Mandatory Tax** - Distributions from a 403(b) or qualified retirement plan that are eligible for rollover and are not directly rolled over are subject to mandatory 20% federal tax withholding. Refer to the 403(b) and Qualified Plan Distribution Disclosure (9972) for more information. If your distribution is subject to mandatory 20% federal tax withholding, your distribution may also be subject to mandatory state tax withholding.

**We are unable to withhold for federal and state taxes** - By electing to have your dividends and/or capital gains distributed, you are electing no withholding. Some states will require state withholding even if you elect no withholding.

If you wish to elect withholding, request to have your dividends and capital gains reinvested and establish a systematic distribution.

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### Validation

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For your protection, validation of your identity is requested.

Redemption/disbursement transactions:

- a. Value of \$500,000 or more will require a Medallion Signature Guarantee.
- b. Greater than \$99,999 and up to \$499,999 will require one of the following forms of validations:
  - Your notarized signature
  - An original Medallion Signature Guarantee; or
  - An attestation of your signature by your Thrivent Financial financial representative
- c. Greater than \$9,999, less than \$99,999, and the address of record changed within the prior 15 days will require an attestation of your signature by your Thrivent Financial financial representative, your notarized signature or an original Medallion Signature Guarantee.
- d. Greater than \$9,999, less than \$99,999, and the bank information provided has been on record for less than 15 days will require an attestation of your signature by your Thrivent Financial financial representative, your notarized signature or an original Medallion Signature Guarantee.
- e. Of any dollar amount requested to send proceeds to an address other than the one listed on your account, and/or request to make proceeds payable to someone other than the account owner require one of the following forms of validation:
  - Your notarized signature
  - An original Medallion Signature Guarantee; or
  - An attestation of your signature by your Thrivent Financial financial representative

A Notary Public or Medallion Signature Guarantee may generally be obtained at any national bank.