

**Purpose:** Use this form to notify Thrivent Financial that your name has changed because of marriage, divorce, or another reason.

**Note:** Before completing this form, please ensure that you have filed an updated Form SS-5 with the Social Security Administration.

Change name with SSA ([ssa.gov/personal-record/change-name](https://ssa.gov/personal-record/change-name))

Application for Social Security Card ([ssa.gov/forms/ss-5.pdf](https://ssa.gov/forms/ss-5.pdf))

**Fields marked with an asterisk (\*) are required.**

## Section 1 - Supporting Document(s)

Check the appropriate box below to indicate which supporting document(s) you are providing. Please provide copies only.

**\*Check at least one box:**

- ☐ Marriage certificate
- ☐ Divorce decree referencing the name change
- ☐ Court order referencing the name change
- ☐ An updated social security card reflecting the name that has changed. See Application for Social Security Card ([ssa.gov/forms/ss-5.pdf](https://ssa.gov/forms/ss-5.pdf)) for further information.
- ☐ Government-issued unexpired ID (driver's license, passport, etc.)

## Section 2 - Account Holder Information

\*Thrivent ID \_\_\_\_\_

\*Social Security Number \_\_\_\_\_

\*Print Previous Legal Name:

Prefix \_\_\_\_\_

\*First \_\_\_\_\_

Middle \_\_\_\_\_

\*Last \_\_\_\_\_

Suffix (i.e., Jr., Sr., III, etc. if applicable) \_\_\_\_\_

\*Print New Legal Name:

Prefix \_\_\_\_\_

\*First \_\_\_\_\_

Middle \_\_\_\_\_

\*Last \_\_\_\_\_

Suffix (i.e., Jr., Sr., III, etc. if applicable) \_\_\_\_\_

Greeting Name (the name a client prefers to be greeted with, if different from their legal name)

\_\_\_\_\_

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**Section 3 - Substitute W-9 Tax Certification**

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Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (as defined by the IRS in its W-9 instructions); and
4. The Foreign Account Tax Compliance Act (FATCA) code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Exemption from FATCA reporting code (if any) \_\_\_\_\_

☐ You must check this box if the IRS has notified you that you are subject to backup withholding because you have failed to report all interest and dividends on your tax return.

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**Section 4 - Disclosures & Signature**

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The name change will apply to all your accounts/contracts under your client ID, at Thrivent Financial. As used above, the word "I" refers to the applicant who is the taxpayer.

If you are signing in any capacity on behalf of the client, a title (attorney-in-fact, conservator trustee, authorized person, etc.) must be provided.

**The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**

\*Signature \_\_\_\_\_ Date \_\_\_\_\_

Title \_\_\_\_\_

Mail completed form to:      Fax:

Thrivent      800-225-2264  
PO Box 8075  
Appleton, WI 54912-8075